

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**Docket No.  
RMI-5707CIP4CON6

In Re Application Of:

Denise Barut et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/750,701	December 31, 2003		30452	3731	4117

Title:

CANNULA WITH ASSOCIATED FILTER AND METHODS OF USE DURING CARDIAC SURGERY

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SEP 16 2004

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Statement Under 37 CFR 3.73(b)  
Copy of Assignment Recorded on 4/29/2003

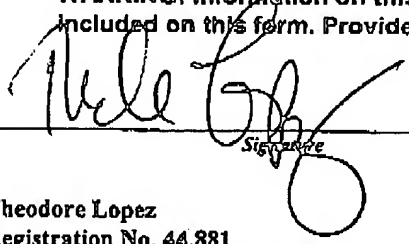
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in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-1225 as described below.
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Dated: September 16, 2004

  
Theodore Lopez  
Registration No. 44,881  
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Irvine, California 92614  
Telephone: (949) 250-6856  
Facsimile: (949) 250-6850

cc: Customer No. 30452

I hereby certify that these papers and all enclosures are being sent via facsimile on September 16, 2004 to Commissioner for Patents at Facsimile No. (703) 872-9306.

  
Signature of Person Sending CorrespondenceMelissa Sanchez  
Typed or Printed Name of Person Sending Correspondence

PTO/SB/81 (06-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**POWER OF ATTORNEY  
and  
CORRESPONDENCE ADDRESS  
INDICATION FORM**

Application Number	10/750.701
Filing Date	December 31, 2003
First Named Inventor	Denise Barbut et al.
Title	Cannula with Associated Filter ...
Art Unit	3731
Examiner Name	Unknown
Attorney Docket Number	RMI-5707CIP4CON6

I hereby appoint:



Practitioners associated with the Customer Number:

30452

OR



Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:



The address associated with the above-mentioned Customer Number:

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The address associated with Customer Number:

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Theodore Lopez				
Address	Edwards Lifesciences LLC				
Address	One Edwards Way, Legal Department				
City	Irvine	State	CA	Zip	92614
Country	USA				
Telephone	949 250-6856	Fax	949 250-6850		

I am the:




Applicant/Inventor.



Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Signature		Date	Sept 16, 2004
Name	Jay P. Wertheim	Telephone	949 250-6856
Title and Company	Vice President, Associate General Counsel & Corporate Secretary		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple



\*Total of 3 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending on the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Edwards Lifesciences CorporationApplication No./Patent No.: 10/750,701Filed/Issue Date: December 31, 2003Entitled: CANNULA WITH ASSOCIATED FILTER AND METHODS OF USE DURING CARDIAC SURGERYEdwards Lifesciences Corporation, a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013998, Frame 0632, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

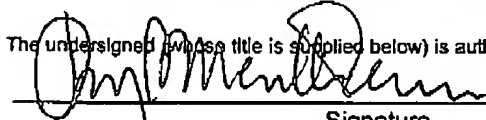
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The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

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**[NOTE: A separate copy (i.e., a true copy of the original assignment document (s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]**

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



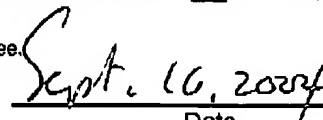
Signature

Jay P. Wertheim

Printed or Typed Name

Vice President, Associate General Counsel &  
Corporate Secretary

Title



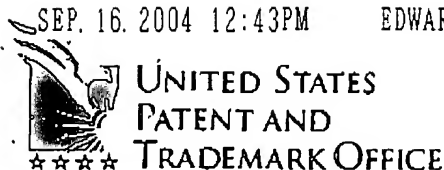
Date

949 250-6815

Telephone number

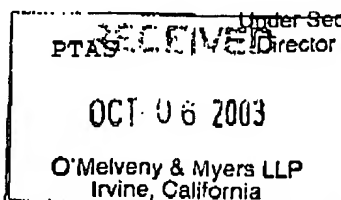
This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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SEPTEMBER 29, 2003

O'MELVENY & MYERS LLP  
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IRVINE, CA 92618-3315



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RECORDATION DATE: 04/29/2003

REEL/FRAME: 013998/0632  
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

## ASSIGNOR:

EMBOL-X, INC.

DOC DATE: 04/17/2003

## ASSIGNEE:

EDWARDS LIFESCIENCES CORPORATION  
ONE EDWARDS WAY  
IRVINE, CALIFORNIA 92614

SERIAL NUMBER: 09016714  
PATENT NUMBER: 6592546

RMI-5708  
CIPCON4

FILING DATE: 01/30/1998  
ISSUE DATE: 07/15/2003

SERIAL NUMBER: 09365650  
PATENT NUMBER:

RMI-5745

FILING DATE: 08/02/1999  
ISSUE DATE:

SERIAL NUMBER: 09439646  
PATENT NUMBER:

RMI-5734

FILING DATE: 11/12/1999  
ISSUE DATE:

SERIAL NUMBER: 09467293  
PATENT NUMBER:

RMI-5708  
CIP2CON2

FILING DATE: 12/17/1999  
ISSUE DATE:

O'Melveny & Myers LLP  
File: 244,610-

Action Item: \_\_\_\_\_

Date Due: \_\_\_\_\_

Critical Date: \_\_\_\_\_

Attorney Path: JCK

Docketed By: \_\_\_\_\_

Verified By: \_\_\_\_\_

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PATENT NUMBER: 6569182	<i>CON</i>	ISSUE DATE: 05/27/2003
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PATENT NUMBER:		ISSUE DATE:

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ISSUE DATE:

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PATENT NUMBER: *CON 2* FILING DATE: 11/29/2001  
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PATENT NUMBER: *CON 4* FILING DATE: 10/31/2001  
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PATENT NUMBER: *CON* FILING DATE: 10/31/2001  
ISSUE DATE:

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PATENT NUMBER: *CIP 4 CON 5* FILING DATE: 11/30/2001  
ISSUE DATE: *DIVISIONAL*

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PATENT NUMBER: FILING DATE: 11/06/2001  
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PATENT NUMBER: FILING DATE: 01/03/2002  
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PATENT NUMBER: FILING DATE: 03/05/2002  
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ISSUE DATE:

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PATENT NUMBER: FILING DATE: 06/17/2002  
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ISSUE DATE:

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PATENT NUMBER: *CON 5* FILING DATE: 11/12/2002  
ISSUE DATE:

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PATENT NUMBER: 6196994	<i>CON</i>	ISSUE DATE: 03/06/2001
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SERIAL NUMBER: 09443581	<i>RMI-5725</i>	FILING DATE: 11/18/1999
PATENT NUMBER: 6224620	<i>CON2</i>	ISSUE DATE: 05/01/2001
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PATENT NUMBER: 6228053	<i>CON</i>	ISSUE DATE: 05/08/2001
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PATENT NUMBER: 6235045	<i>CIP4 CON4</i>	ISSUE DATE: 05/22/2001
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PATENT NUMBER: 6245087		ISSUE DATE: 06/12/2001
SERIAL NUMBER: 09131181	<i>RMI-5713</i>	FILING DATE: 08/07/1998
PATENT NUMBER: 6251119		ISSUE DATE: 06/26/2001
SERIAL NUMBER: 08996532	<i>RMI-5723</i>	FILING DATE: 12/23/1997
PATENT NUMBER: 6258120		ISSUE DATE: 07/10/2001
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PATENT NUMBER: 6267751	<i>CON</i>	ISSUE DATE: 07/31/2001
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PATENT NUMBER: 6270490		ISSUE DATE: 08/07/2001
SERIAL NUMBER: 09455011	<i>RMI-5730</i>	FILING DATE: 12/03/1999
PATENT NUMBER: 6270513	<i>CON3</i>	ISSUE DATE: 08/07/2001
SERIAL NUMBER: 09369058	<i>RMI-5748</i>	FILING DATE: 08/04/1999
PATENT NUMBER: 6280432		ISSUE DATE: 08/28/2001
SERIAL NUMBER: 09441707	<i>RMI-5749</i>	FILING DATE: 11/16/1999
PATENT NUMBER: 6287321	<i>CON</i>	ISSUE DATE: 09/11/2001
SERIAL NUMBER: 09562799	<i>RMI-5739</i>	FILING DATE: 05/02/2000
PATENT NUMBER: 6315787	<i>CON</i>	ISSUE DATE: 11/13/2001

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SERIAL NUMBER: 09630805	<i>RMI-5724</i>	FILING DATE: 08/02/2000
PATENT NUMBER: 6319268	<i>CON 2</i>	ISSUE DATE: 11/20/2001
SERIAL NUMBER: 09350780	<i>RMI-5709 CON</i>	FILING DATE: 07/09/1999
PATENT NUMBER: 6350341		ISSUE DATE: 02/26/2002
SERIAL NUMBER: 09616985	<i>RMI-5740 CON</i>	FILING DATE: 07/14/2000
PATENT NUMBER: 6352530		ISSUE DATE: 03/05/2002
SERIAL NUMBER: 09568532	<i>RMI-5741 CON</i>	FILING DATE: 05/09/2000
PATENT NUMBER: 6364896		ISSUE DATE: 04/02/2002
SERIAL NUMBER: 09473584	<i>RMI-5722</i>	FILING DATE: 12/28/1999
PATENT NUMBER: 6406471		ISSUE DATE: 06/18/2002
SERIAL NUMBER: 09691641	<i>RMI-5707</i>	FILING DATE: 10/18/2000
PATENT NUMBER: 6423086	<i>CIP 4 CON 5</i>	ISSUE DATE: 07/23/2002
SERIAL NUMBER: 09145808	<i>RMI-5735</i>	FILING DATE: 09/02/1998
PATENT NUMBER: 6440120		ISSUE DATE: 08/27/2002
SERIAL NUMBER: 09691386	<i>RMI-5729</i>	FILING DATE: 10/17/2000
PATENT NUMBER: 6443957	<i>CON 2</i>	ISSUE DATE: 09/03/2002
SERIAL NUMBER: 09131158	<i>RMI-5737</i>	FILING DATE: 08/07/1998
PATENT NUMBER: 6461327		ISSUE DATE: 10/08/2002
SERIAL NUMBER: 09454240	<i>RMI-5720</i>	FILING DATE: 12/02/1999
PATENT NUMBER: 6468262		ISSUE DATE: 10/22/2002
SERIAL NUMBER: 09844895	<i>RMI-5731</i>	FILING DATE: 04/26/2001
PATENT NUMBER: 6494895	<i>CON</i>	ISSUE DATE: 12/17/2002
SERIAL NUMBER: 09642570	<i>RMI-5723</i>	FILING DATE: 08/17/2000
PATENT NUMBER: 6499487	<i>CON</i>	ISSUE DATE: 12/31/2002
SERIAL NUMBER: 10043869	<i>RMI-5741</i>	FILING DATE: 11/01/2001
PATENT NUMBER: 6500191	<i>CON 2</i>	ISSUE DATE: 12/31/2002
SERIAL NUMBER: 09846309	<i>RMI-5728</i>	FILING DATE: 04/30/2001
PATENT NUMBER: 6508826		ISSUE DATE: 01/21/2003
SERIAL NUMBER: 09893119	<i>RMI-5730</i>	FILING DATE: 06/26/2001
PATENT NUMBER: 6537297	<i>CON 4</i>	ISSUE DATE: 03/25/2003

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Name: David B. Murphy

Internal Address: O'Melveny &amp; Myers LLP

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WHEREAS, EMBOL-X, INC., a corporation organized and existing under and by virtue of the laws of the state of DELAWARE and having its principal place of business at 1635A South Main Street, Milpitas, CA 95035-6262 (hereinafter referred to as "ASSIGNOR"), have acquired the exclusive right, title and interest in the patents listed in EXHIBIT A and the patent applications listed in EXHIBIT B; and

WHEREAS, EDWARDS LIFESCIENCES CORPORATION, a corporation organized and existing under and by virtue of the laws of the state of DELAWARE and having its principal place of business at One Edwards Way, Irvine, CA 92614, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the exclusive right, title and interest in, to and under said inventions and in, to and under any Patents or similar legal protection to be obtained therefor in the United States of America, its territorial possessions and in any and all countries foreign thereto.

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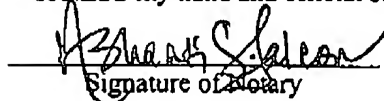


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STATE OF CALIFORNIA     )  
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WITNESS my hand and official seal.

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